

PATENT 1644

Case Docket No. MANNK.021CP1C (Formerly CTLIMM.021CP1C)

Date: January 20, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Simard, et al.
Appl. No. : 10/026,066
Filed : December 7, 2001
For : EPITOPE
SYNCHRONIZATION IN
ANTIGEN PRESENTING
CELLS
Examiner : Francois P. Vandervegt
Group Art Unit : 1644

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

January 20, 2004

(Date)

Sheila R. Gibson
Sheila R. Gibson, Reg. No. 54,120

TRANSMITTAL LETTER

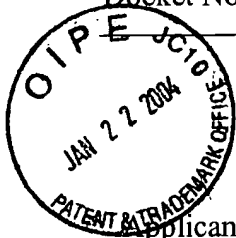
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 listing seven (7) references, five (5) of which are enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

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**INFORMATION DISCLOSURE STATEMENT**

Applicants	:	Simard, et al.
App. No.	:	10/026,066
Filed	:	December 7, 2001
For	:	EPITOPE SYNCHRONIZATION IN ANTIGEN PRESENTING CELLS
Examiner	:	Francois P. Vandervegt
Group Art Unit	:	1644

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing seven (7) references, five (5) of which are enclosed. WIPO Publication 97/41440 A1, and the article by Van Den Eynde, et al. were previously submitted to the Examiner in a prior Information Disclosure Statement, and therefore these two documents are not enclosed.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3)

Furthermore, this Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below, and therefore, no fee is required as set forth in 37 C.F.R. § 1.97(c).

Appl. No. : 10/026,066 **Docket No.** MANNK.021CP1C (Formerly CTLIMM.021CP1C)
Filed : December 7, 2001 **Customer No.** 20,995

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

If a fee is due, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: January 20, 2004

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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. MANNK.021CP1C (Formerly CTLIMM.021CP1C)	APPLICATION NO. 10/026,066
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Simard, et al.	
		FILING DATE December 7, 2001	GROUP 1644

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	4,683,199 A	07/1987	Palladino		

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	2	WO 97/41440 A1	11/1997	W.I.P.O. (<i>*previously submitted in an Information Disclosure Statement</i>)				
	3	WO 01/090197 A1	11/2001	W.I.P.O.				
	4	WO 99/24596 A1	05/1999	W.I.P.O.				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
	5	Van DEN EYNDE, et al. "Differential processing of class-I-restricted epitopes by the standard proteasome and the immunoproteasome," <u>Current Opinion in Immunology</u> , Vol. 13, pp. 147-153 (2001). <i>(*previously submitted in an Information Disclosure Statement)</i>
	6	International Search Report re International Application No. PCT/US03/26231 Date of Mailing of International Search Report: 02 December 2003
	7	International Search Report re International Application No. PCT/US02/35582 Date of Mailing of International Search Report: 14 November 2003

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EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	